

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- ✓ TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

Please type a plus sign (+) inside this box →

+

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input checked="" type="checkbox"/> Declaration submitted with Initial Filing <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge 37 CFR 1.16 (e) required)	Attorney Docket Number	PC9576A
	First Named Inventor	Brian Scott Bronk
	COMPLETE IF KNOWN	
	Application Number	Not yet assigned
	Filing Date	Filed herewith
	Group Art Unit	Not yet assigned
	Examiner Name	Not yet assigned

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

4"-SUBSTITUTED-9-DEOXO-9A-AZA-9A-HOMOERYTHROMYCIN A DERIVATIVE

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 05/29/1998 as United States Application Number or PCT International

Application Number PCT/IB98/00839 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
PCT/IB98/00839	PCT	05/29/1998	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below:

Application Number(s)	Filing Date (MM/DD/YYYY)	
60/049,348	06/11/1997	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B sheet attached hereto.

EV271824139 US
EXPRESS MAIL NO. EL163957665 US

Please type a plus sign (+) inside this box →

+

DECLARATION --- Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 U.S.C. 1.56, which became available between the filing date of the prior application and the national or PCT International filing date of this application.

U.S. Parent Application Number or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
PCT/IB98/00839	05/29/1998	

☐ Additional U.S. or PCT International application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number
or

Place Customer
Number Bar Code
Label here

☒ Registered practitioner(s) name/registration number listed below

Name	Registration Number	Name	Registration Number
Peter C. Richardson	27,526	Mark Dryer	28,775
Allen J. Spiegel	25,749	Lawrence C. Akers	28,587
Paul H. Ginsburg	28,718	A. Dean Olson	31,185
J. Trevor Lumb	28,567	Mervin E. Brokke	32,723
James T. Jones	30,561	Valerie M. Fedowich	33,688
Gregg C. Benson	30,977	Bryan C. Zielinski	34,462
Robert F. Sheyka	31,304	Robert T. Ronau	36,257
Grover F. Fuller Jr.	31,760	B. Timothy Creagan	39,156
Karen DeBenedictis	32,977	Alan L. Koller	37,371
Lorraine B. Ling	35,251	Jolene W. Appleman	35,428
Garth Butterfield	36,997	Kristina L. Konstas	37,864
Carl J. Goddard	39,203	Seth H. Jacobs	32,140
Raymond M. Speer	26,810	Martha A. Gammill	31,820
Jennifer A. Kispert	40,049	Gregory P. Raymer	36,647
Jacob M. Levine	32,509	E. Victor Donahue	35,492
Israel Nissenbaum	27,582	Roy F. Waldron	42,208

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number or Bar Code Label OR ☒ Correspondence address below

Name	Paul H. Ginsburg				
Address	Pfizer Inc				
Address	235 East 42nd Street, 20th Floor				
City	New York	State	New York	Zip Code	10017-5755
Country	United States Of America	Telephone	(212)573-2369	Fax	(212)573-1939

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))		Family Name or Surname			
Brian Scott		BRONK			
Inventor's Signature				Date	10/12/99
Residence: City	Gales Ferry	State	CT	Country	US
Post Office Address	66 Partridge Hollow Road				
Post Office Address					
City	Gales Ferry	State	CT	Zip	06355
				Country	US

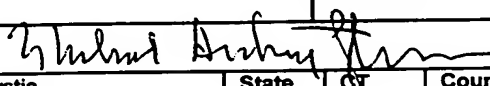
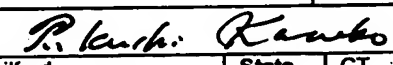
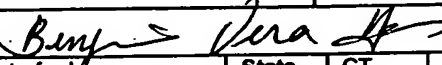
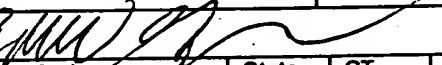
☒ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

Please type a plus sign (+) inside this box →

+

DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])		Family Name or Surname					
Michael Anthony		LETAVIC					
Inventor's Signature						Date	
Residence: City	Mystic	State	CT	Country	US	Citizenship	US
Post Office Address	334 High Street						
Post Office Address							
City	Mystic	State	CT	Zip	06355	Country	US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])		Family Name or Surname					
Takushi		KANEKO					
Inventor's Signature						Date	
Residence: City	Guilford	State	CT	Country	US	Citizenship	US
Post Office Address	398 Northwood Drive						
Post Office Address							
City	Guilford	State	CT	Zip	06437	Country	US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])		Family Name or Surname					
Bingwei Vera		YANG					
Inventor's Signature						Date	10/13/99
Residence: City	Waterford	State	CT	Country	USA	Citizenship	USA
Post Office Address	27 Lincoln Road						
Post Office Address							
City	Waterford	State	CT	Zip	06385	Country	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])		Family Name or Surname					
Edward Alan		GLAZER					
Inventor's Signature						Date	11/1/99
Residence: City	Waterford	State	CT	Country	US	Citizenship	US
Post Office Address	310 Boston Post Road, Unit 77						
Post Office Address							
City	Waterford	State	CT	Zip	06385	Country	US

Please type a plus sign (+) inside this box →

+

DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Hengmiao				CHENG			
Inventor's Signature	<i>Hengmiao Cheng</i>					Date	10/12/99
Residence: City	East Lyme	State	CT	Country	US	Citizenship	US Canada
Post Office Address		39 Mayfield Terrace					
Post Office Address							
City	East Lyme	State	CT	Zip	06333	Country	CANA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature						Date	
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		Zip		Country	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature						Date	
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		Zip		Country	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature						Date	
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		Zip		Country	

07-31-2000



HEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark

To the Honorable Commissioner of Patent

101418844

nal documents or copy thereof.

1. Name of conveying party(ies):
 Brian S. BRONK, Michael A. LETAVIC, Takushi
 KANEKO, Bingwei Vera YANG, Edward A. GLAZER

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: June 16, 2000 (Bronk), June 21, 2000
(Letavic), June 19, 2000 (Kaneko), June 19, 2000 (Yang),
June 19, 2000 (Glazer)

2. Name and address of receiving party(ies)

Name: Pfizer Inc
 Street Address: 235 East 42nd Street, 20th Floor
 City: New York State: New York Zip: 10017-5755

and

Name: Pfizer Products Inc.
 Internal Address: Bldg. 188S, 3rd Floor
 Street Address: Eastern Point Road
 City: Groton State: Connecticut Zip: 06340

Additional name(s) & address(es) attached? ☐ Yes ☒ No

JUN 30

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) B. Patent No. (s)
09/424,104

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom
 correspondence concerning document should be
 mailed:

Name: Paul H. Ginsburg

Internal Address: Pfizer Inc

Street Address: 235 East 42nd Street, 20th Floor

City: New York State: New York ZIP: 10017-5755

6. Total number of pages including cover sheet,
 attachments and document: 6

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

16-1445

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached document is
 the original or a true copy of the original document.*

Lorraine B. Ling, Reg. No. 35,251

Name of Person Signing

Lorraine B. Ling
 Signature

June 27, 2000

Date

Total number of pages including cover sheet: 6

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Honorable Commissioner of Patents and Trademarks
 Box Assignments
 Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

CONFORMS WITH FORM PTO-1595

07/28/2000 ASCOTT 00000077 161445 09424104

01 FC:581

40.00 CH

ASSIGNMENT RECORDAL CVR SHEET (GROTON) TO COMMIS (FILED PREV).DOT. 3/99

EXPRESS

NO. EV271824139US

ASSIGNMENT


For valuable consideration, the receipt and adequacy of which is hereby acknowledged, we, **Brian S. BRONK and Michael A. LETAVIC, Takushi KANEKO, Bingwei Vera YANG, Edward A. GLAZER** of **66 Partridge Hollow Road, Gales Ferry, Connecticut 06335, United States of America, 334 High Street, Mystic, Connecticut, 06335, United States of America, 398 Northwood Drive, Guilford, Connecticut 06437, United States of America, 27 Lincoln Road, Waterford, Connecticut 06385, United States of America, 310 Boston Post Road, Unit 77, Waterford, Connecticut 06385, United States of America** respectively, hereby sell, assign and transfer unto **PFIZER INC.**, a corporation organized and existing under the laws of the State of Delaware, United States of America, and having its principal place of business at **235 East 42nd Street, New York, New York 10017, United States of America**, our entire right, title and interest, except as limited hereinbelow, in and to provisional patent application of the United States of America, having **PFIZER INC. Docket No. PC 9576A, entitled 4"-SUBSTITUTED-9-DEOXO-9A-AZA-9A-HOMOERYTHROMYCIN A DERIVATIVES**; filed in the United States Patent and Trademark Office on **November 18, 1999** and assigned application number **09/424,104**; and our entire right, title and interest, in the United States of America, in and to all our inventions, whether joint or sole, disclosed in said provisional patent application; and our entire right, title and interest in and to all applications filed in the United States of America for Letters Patent for any or all of said inventions; and our entire right, title and interest in and to all Letters Patent granted in the United States of America on the foregoing applications;

and we hereby sell, assign and transfer unto **PFIZER PRODUCTS INC.**, a corporation organized and existing under the laws of the State of Connecticut, United States of America, and having its place of business at **Eastern Point Road, Groton, Connecticut 06340, United States of America**, our entire right, title and interest, in all countries of the world except the United States of America, in and to all our inventions, whether joint or sole, disclosed in said provisional patent application; and our entire right, title and interest in and to all patent applications filed outside the United States of America

for Letters Patent for any or all of said inventions; and our entire right, title and interest in and to all Letters Patent granted outside the United States of America on said patent applications filed outside the United States of America; and the right to claim priority from said provisional patent application under the Paris Convention for the Protection of Industrial Property, and under any and all other such treaties and agreements to which the United States of America is a party and which afford similar priority-claiming privileges, in all countries of the world except the United States of America;

and we hereby agree, whenever requested, to communicate to said PFIZER INC. and said PFIZER PRODUCTS INC., and their successors and assigns, any facts known to us respecting said inventions, to testify in any legal proceeding respecting said inventions, and to execute all applications or papers necessary to obtain and maintain proper patent protection on said inventions in all countries of the world.

Signed and witnessed this 16th Day of June 2000
at Groton, Connecticut, USA


Brian S. BRONK

In the presence of:




PETER BERTINATTO
(Typed or Printed Name of Witness)

Signed and witnessed this 21st Day of June 2000
at Groton, Connecticut, USA


Michael A. LETAVIC


In the presence of:


Amber Paele
(Typed or Printed Name of Witness)

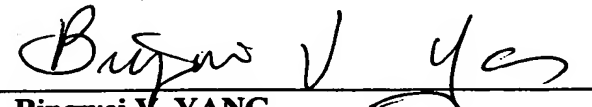
Signed and witnessed this 19th Day of June 2000
at Groton, Connecticut, USA


Takushi KANEKO

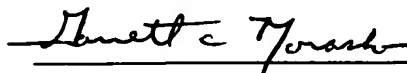
In the presence of:


WENHUA JIAO
(Typed or Printed Name of Witness)

Signed and witnessed this 19th Day of June 2000
at Groton, Connecticut, USA


Bingwei V. YANG

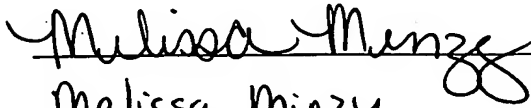
In the presence of:


GARRETT MORASKI
(Typed or Printed Name of Witness)

Signed and witnessed this 19 Day of June 2000
at Groton, Connecticut, USA


Edward A. GLAZER

In the presence of:


Melissa Minzy
(Typed or Printed Name of Witness)